PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

Applicant's or agent's file reference PHNL040252WO	FOR FURTHER ACTION	See item 4 below			
International application No. PCT/IB2005/050795	International filing date (day/month/year) 03 March 2005 (03.03.2005)	Priority date (day/month/year) 17 March 2004 (17.03.2004)			
International Patent Classification (8th edition unless older edition indicated) See relevant information in Form PCT/ISA/237					
Applicant KONINKLIJKE PHILIPS ELECTRONICS N.V.					

1.	This international preliminary report on patentability (Chapter I) is issued by the International Bureau on behalf of the International Searching Authority under Rule 44 bis.1(a).			
2.	This REPORT consists of a total of 7 sheets, including this cover sheet.			
	In the attached sheets, any refere to the international preliminary r		the International Searching Authority should be read as a reference er I) instead.	
3.	This report contains indications relating to the following items:			
	Box No. I	Basis of the report		
	Box No. II	Priority		
	Box No. III	Non-establishment of opin applicability	nion with regard to novelty, inventive step and industrial	
	Box No. IV	Lack of unity of invention		
	Box No. V		Article 35(2) with regard to novelty, inventive step or industrial explanations supporting such statement	
	Box No. VI	Certain documents cited		
	Box No. VII	Certain defects in the inter	national application	
	Box No. VIII	Certain observations on th	e international application	
4.			gnated Offices in accordance with Rules 44bis.3(c) and 93bis.1 but er Article 23(2), before the expiration of 30 months from the priority	
			Date of issuance of this report 19 September 2006 (19.09.2006)	
The International Bureau of WIPO			Authorized officer	
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Form PCT/IB/373 (January 2004)

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From						REC'D 16 A	
INTER	RNATIONAL SEAF	RCHING AUTHO	ORITY			WIPO	PCT
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	cant's or agent's file form PCT/ISA/22			FOR FURTHER A See paragraph 2 belo			
_	national application N NB2005/050795	lo.	International filing date (d 03.03.2005	lay/month/year)	Priority date (d. 17.03.2004	ay/month/year)	
	national Patent Class K9/08, H01K1/14	• •	both national classification a	and IPC	····		
Appli KON	cant NINKLIJKE PHIL	IPS ELECTRO	ONICS N.V.				
1.	This opinion co	ntains indicati	ons relating to the folk	owing items:			
	Box No. I	Basis of the op	alplan	_			
	Box No. II	Priority	JIIIOII				i
	Box No. III	•	ment of opinion with rega	ard to novelty, inventiv	e step and indu	ustrial applicabil	ity
ı	☐ Box No. IV	Lack of unity of		,,		, ,	
	⊠ Box No. V	Reasoned stat	tement under Rule 43 <i>bis</i> itations and explanations			ive step or Indus	strial
	☐ Box No. VI	Certain docum	ents cited				
	☐ Box No. VII	Certain defect	s in the International app	lication			
	☐ Box No. VIII	Certain observ	atlons on the internation	al application			
2.	FURTHER ACTI	ON .		·			
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	For further option	ns, see Form Po	CT/ISA/220.				
3.	For further detail	s, see notes to	Form PCT/ISA/220.				
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WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/IB2005/050795

	Box No. I Basis of the opinion
1.	With regard to the language, this opinion has been established on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.
	☐ This opinion has been established on the basis of a translation from the original language into the following language , which is the language of a translation furnished for the purposes of international search (under Rules 12.3 and 23.1(b)).
2.	With regard to any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed invention, this opinion has been established on the basis of:
	a. type of material:
	☐ a sequence listing
	□ table(s) related to the sequence listing
	b. format of material:
	☐ in written format
	☐ in computer readable form
	c. time of filing/furnishing:
	□ contained in the international application as filed.
	☐ filed together with the international application in computer readable form.
	☐ furnished subsequently to this Authority for the purposes of search.
3.	□ In addition, in the case that more than one version or copy of a sequence listing and/or table relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.

4. Additional comments:

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/IB2005/050795

Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)

Claims

No: Claims 1,2,4-8

Inventive step (IS)

Claims Yes:

No: Claims 1,2,4-8

industrial applicability (iA)

Yes: Claims 1-8

Claims No:

2. Citations and explanations .

see separate sheet

Re Item V

Reasoned statement with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1) Lack of conciseness (Art. 6 PCT)

Although **claims 1 and 7** have been drafted as separate independent apparatus claims, they include numerous reproductions of identical technical features and have widely overlapping scopes. The aforementioned claims therefore lack conciseness.

It would appear appropriate to define the relevant subject-matter in terms of <u>a single independent device claim</u>, followed by dependent claims covering features which are merely optional (Rule 6.4 PCT).

2) Cited documents

Reference in made to the following documents:

- D1: GB 246 504 A (ANDRE ALBERT SAMUEL) 13 May 1926 (1926-05-13)
- D2: DATABASE WPI Section EI, Week 197902 Derwent Publications Ltd., London, GB; Class X26, AN 1979-A3541B XP002337783 -& SU 593 268 A (BABUSHKIN S G) 31 January 1978 (1978-01-31)
- D3: GB 21435 A A.D. 1914 (THE BRITISH THOMSON-HOUSTON COMPANY LIMITED) 24 June 1915 (1915-06-24)
- D4: US-A-4 536 831 (ENGLISH ET AL) 20 August 1985 (1985-08-20)

3) Novelty (Article 33(2) PCT)

3.1) The subject-matter of independent **claim 1** is not new over document D1, which discloses all features of this claim (see in particular figure 5 and related description on page 2); namely a lamp with a sealed vessel and two coaxial coiled filaments b,c having

different diameters, where coil c surrounds coil b, and selective electric power supplies e1, e2, and e3 for each of the coils b and c.

3.2) The subject-matter of claim 1 is equally not new over documents D2 (see WPI-abstract and figure) and D3 (see Fig. 2 and related description on page 2).

(It is noted that claim 1 of the present application does not claim means for *selectively* supplying power to each one of the filaments.)

3.3) The subject-matter of independent claim 7 is not new over each of D2 and D3 for essentially the same reasons as given in conjunction with claim 1 above. (A reflector is implicit to the cine projector mentioned in D2, abstract; as well as to the projector automobile lamps mentioned in D3 page 2 line 41; due to the finite dimensions of the filaments in D2 and D3 any beam created by such reflector is necessarily at some point diverging, ate least after a focus position).

4) Articles 33(2) and (3) PCT

- **4.1)** The subject-matter of independent claim 7 is not inventive over D1 for essentially the same reasons as given in conjunction with claim 1 above; since the additional feature of a reflector in claim 7 is an obvious and well-known design possibility (see e.g. D4 Fig. 5) which does not bring about any unexpected effect or advantage.
- **4.2)** The claims 2, 4-6, and 8 referring back to claims 1 or 7 only comprise subject-matter relating to features which are known, explicitly or implicitly, from the citations (see the corresponding passages cited in the search report), and moreover are considered to be routine matter to be expected of the skilled person. Therefore these claims cannot serve as a basis for a new independent claim which would meet the requirements of the PCT as to novelty and/or inventive step.

For claim 2 see e.g. D2 (figure) or D4 (Fig. 2); for claim 4 see e.g. D1 figure 3, for claim 5 see D1 figure 5, D2 (figure), or D3 (figure 2); for claim 6 see e.g. D2 (title) or D4 (column 2 line 34). The vague and relative feature "substantially parabolic" in claim 8 cannot be used

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY (SEPARATE SHEET)

International application No.

PCT/IB2005/050795

to establish novelty or inventive step of the claimed subject-matter (cf. PCT-Guidelines 5.34), in particular, the reflector of e.g. D4 (figure 5) is "substantially parabolic".

4.3) The subject-matter of claim 3 differs from that of claim 1, and also differs from the disclosures of each of D1 to D3 as cited above, in that the filament forming the coil having the larger diameter has a larger thickness than the filament forming the coil having the smaller diameter.

The subject-matter of claim 1 is therefore novel (Article 33(2) PCT).

The problem to be solved by the present invention may be regarded as compensating for the larger coil with respect to power capacity, so that both filaments may have the same power capacity (see page 2 lines 25 et seq. of the description).

The solution to this problem proposed in **claim 3** of the present application is considered as involving an inventive step (Article 33(3) PCT) since it is neither disclosed nor rendered obvious in the prior art. In fact, D3 (page 3 lines 8 et seq.) suggest exactly the opposite.